

Privacy Notice

Privacy in financial transactions and relationships is an important issue. We recognize the importance of protecting personal information as central to our role as a fiduciary. We have developed policies, procedures, and various electronic and legal safeguards to protect confidentiality of information.

We collect nonpublic personal information from the various sources including information we receive from applications, account agreements or other forms; information about transactions with us, our affiliates, or others; and information we may receive from attorneys, accountants, and others in the normal course of our fiduciary duties.

We may share relevant information with our clearing firm, Wells Fargo Clearing Services, and other companies in the clearing firm's family (companies owned by our clearing firm), and with our affiliated company AMB Professional Group, LLC. We share information only to the extent necessary to process transactions or otherwise service accounts with us.

We may also be required to share information by federal and state regulatory agencies having jurisdiction over us. All investment advisers need to share personal information to run their everyday business or as required or permitted by law. Please see how we may share your personal information:

Reasons We Can Share Your Personal Information	Does AMB Share?	Can You Limit Sharing?
For our everyday business purposes, such as processing your transactions, SEC and FINRA compliance, maintaining your account(s), or responding to court orders and legal investigations.	Yes	No
For marketing purposes to offer our products and services to you	No	We don't share
For joint marketing with other financial companies	Yes	Yes
For our affiliates' everyday business purposes—information about your transactions and experiences	Yes	No
For non-affiliates to market to you	No	We don't share

Simply put, we do not disclose any nonpublic personal information about clients to anyone except as noted above. We follow the same policy with respect to nonpublic information received from all clients and former clients.

Allen, Mooney & Barnes Brokerage Services, LLC and Allen, Mooney & Barnes Investment Advisors, LLC have policies that restrict access to nonpublic personal information to those employees who have need for that information to provide investment alternatives or services, or to employees who assist those who provide investment alternatives or services. We maintain physical, electronic and procedural safeguards to protect nonpublic personal information.

Important Information about Procedures for Opening a New Account

To help the government fight the funding of terrorism and money laundering activities, Federal Law requires all financial institutions to obtain, verify and record information that identifies each person who opens an account.

What this means for you: When you open an account, we will ask for your name, address, date of birth and other information that will allow us to identify you. We may also ask to see your driver's license or other identifying documents.

Please contact me at (229) 225-1500 or info@ambwealth.com if you have questions regarding our privacy policies, or if you would like a copy of the Allen, Mooney & Barnes Brokerage Services Regulation Best Interest (Reg BI) Disclosures, the Allen, Mooney & Barnes Investment Advisors ADV Part 2A Firm Brochure or our Customer Relationship Summary (Form CRS).

Brent P. Nicks
Chief Compliance Officer