

In recognition of the potential for events that may cause significant disruptions in its business activities, Allen Mooney & Barnes Brokerage Services, LLC (AMB) has created a *Business Continuity Plan*. The primary purpose of this *Business Continuity Plan* is to help minimize the impact of any such disruptions to AMB and its customers, vendors, and other constituents.

AMB's *Business Continuity Plan* is designed to address a variety of potential functional areas pertaining to its business and operational activities. Those areas include:

- Data back-up and recovery (both hard copy and electronic);
- Business critical systems;
- Financial and operational assessments;
- Alternate communications between AMB and its customers and AMB and its employees;
- Alternate physical location of employees;
- Regulatory reporting; and
- Communications with regulators.

The adequacy of the *Business Continuity Plan* is reviewed by AMB's senior management on an annual basis.

AMB's *Business Continuity Plan* has been made available to its employees so that they are familiar with the appropriate procedures to follow in the unlikely event of a significant business disruption. Should a significant business disruption occur, AMB will post on its internet website pertinent emergency contact information that can be used by customers, vendors and other constituents to contact AMB. AMB's employees may additionally communicate directly with customers, vendors and other constituents through the best means available at the time.

Customer's may obtain a complete copy of AMB's *Business Continuity Plan* by contacting Mark Eric Davis at 229-225-1500.