



The business continuity plan (“BCP”) of Allen, Mooney and Barnes Brokerage Services LLC and Allen, Mooney and Barnes Investment Advisors LLC (“AMB Wealth”) protects clients and the firm by ensuring that essential operations continue during unexpected disruptions.

It outlines how the firm maintains access to critical systems, safeguards client information, and preserves the ability to serve clients during events such as natural disasters, technology failures, or facility outages. The plan addresses key areas including data backup and recovery, communication with clients and regulators, remote-work capabilities, alternative office arrangements, and the continuity of trading, account servicing, and supervisory functions.

The BCP of AMB Wealth is designed to address a variety of potential functional areas pertaining to its business and operational activities. Those areas include:

- ✓ **Data backup and recovery (both hard copy and electronic).**
- ✓ **Business critical systems.**
- ✓ **Financial and operational assessments.**
- ✓ **Alternate communications between AMB and its customers and AMB and its employees.**
- ✓ **Alternate physical location of employees.**
- ✓ **Regulatory reporting; and**
- ✓ **Communications with regulators.**

AMB Wealth conducts regular testing and reviews of its business continuity plan to confirm that procedures remain effective, current, and aligned with regulatory expectations. This ongoing testing helps ensure that the firm can respond quickly and reliably to any interruption while maintaining its commitment to client service and operational resilience. The adequacy of the BCP is reviewed by the senior management of AMB Wealth on an annual basis.

The BCP has been made available to the employees of AMB Wealth, so they are familiar with the appropriate procedures to follow in the unlikely event of a significant business disruption. Should a significant business disruption occur, AMB Wealth will post on its internet website pertinent emergency contact information that can be used by customers, vendors and other constituents to contact our firm.

Employees may additionally communicate directly with customers, vendors and other constituents through the best means available at the time. Customers may obtain a complete copy of the AMB Wealth *Business Continuity Plan* by contacting our Director of Operations, Crystal Godbee at (229) 225-1500.